

O(2) model

Low-T :

$$H = -J \sum_{\langle ij \rangle} \cos(\theta_i - \theta_j)$$

$$\simeq \frac{J}{2} \int (\nabla \theta)^2$$

$$\langle \theta(x) \theta(x') \rangle \equiv G(x-x')$$

$$= \frac{1}{(2\pi)^2} \int_{k, k'} \langle \theta(k) \theta(k') \rangle e^{ikx} e^{ik'x'}$$

$$k = -k'$$

$$\Rightarrow = \frac{1}{(2\pi)^2} \int_k \langle |\theta(k)|^2 \rangle e^{ik(x-x')}$$

$$\langle |\theta(k)|^2 \rangle = \frac{\int |\theta(k)|^2 e^{-\frac{J}{2} k^2 |\theta(k)|^2} d\theta(k)}{\int e^{-\frac{J}{2} k^2 |\theta(k)|^2} d\theta(k)}$$

$$= \frac{1}{2J k^2}$$

$$\Rightarrow \langle \theta(x) \theta(x') \rangle = \frac{1}{(2\pi)^2} \frac{1}{J} \int \frac{e^{i\vec{k} \cdot (\vec{x} - \vec{x}')}}{k^2} dk d\theta$$

$$\Rightarrow G(r) - G(0) = \frac{1}{(2\pi)^2 J} \int_0^{2\pi} \int_0^a \frac{[e^{ikr \cos \theta} - 1]}{k} dk d\theta$$

(a = lattice spacing)

Exp. significance :

2D superfluids, 2D Solids,

O(2) magnets in 2D.

On dimensional grounds,

$$G(r) - G(0) \sim -\frac{1}{2\pi J} \int_0^{r/a} \frac{dk}{k} \sim -\frac{1}{2\pi J} \log\left(\frac{r}{a}\right)$$

Note that the RHS is just the soln to the Green's fn for Laplace eqn. in 2D.

More relevant and physical is the correlation fn

$$\begin{aligned} & \langle e^{i\theta(\vec{x})} e^{-i\theta(\vec{0})} \rangle \\ &= \frac{\int D\theta \, e^{i[\theta(\vec{x}) - \theta(\vec{0})] - \frac{J}{2} \int_{\vec{x}} (\nabla \theta)^2}}{\int D\theta \, e^{-\frac{J}{2} \int_{\vec{x}} (\nabla \theta)^2}} \end{aligned}$$

for any Gaussian prob. dist. [All higher order cumulants vanish]

$$\langle e^{ix} \rangle = e^{-\frac{1}{2} \langle x^2 \rangle}$$

(homework).

$$\Rightarrow \langle e^{i[\theta(\vec{x}) - \theta(\vec{0})]} \rangle = e^{-\frac{1}{2} [\theta(\vec{x}) - \theta(\vec{0})]^2}$$

$$= e^{[G(x) - G(0)]} = e^{-\frac{1}{2\pi J} \log(|\vec{x}|)}$$

$$\sim \frac{1}{|\vec{x}|^{1/2\pi J}}$$

homework: calculate $\langle e^{i\theta(\vec{x}) - i\theta(\vec{0})} \rangle$ 'directly' by performing the path integral.

More generally,

$$e^{i n [\theta(x) - \theta(0)]} \sim \frac{1}{|x|^{n^2/2\pi J}}$$

So now we have established that when

$$J \ll 1 : \langle e^{i [\theta(x) - \theta(0)]} \rangle \sim e^{-|x| \log(\frac{1}{J})}$$

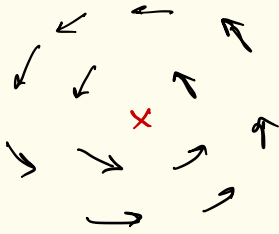
$$J \gg 1 : \langle e^{i [\theta(x) - \theta(0)]} \rangle \sim \frac{1}{|x|^{1/2\pi J}}$$

Therefore, there must be a critical J_c

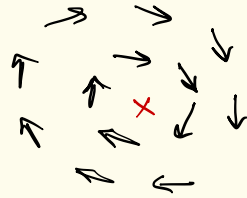
below which the behavior changes from exponential to power law. On dimensional grounds

$J_c \approx O(1)$. It turns out that vortices play a central role in this transition between the two regimes.

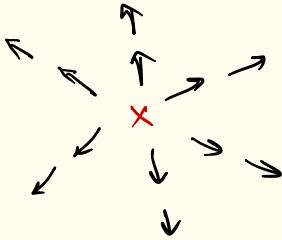
Since the order parameter is a two-comp. vector. $(\cos\theta, \sin\theta)$, and we are in two space dim, the system admits topological defects called vortices:



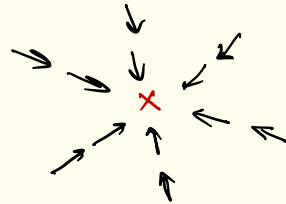
vorticity = +1



vorticity = +1



vorticity = +1



vorticity = +1

$$\text{vorticity} = \frac{1}{2\pi} \oint_C \vec{\nabla} \theta \cdot d\vec{\ell}$$

Contour C
encloses the
vortex core.

Homework: plot config. with vorticity =
-1, +2, -2.

Energy cost of a single vortex:

$$\Delta E = \frac{J}{2a} \int_a^L d^2x (\nabla \theta)^2$$

$$|\nabla \theta| \sim \frac{n}{|x|} \quad \text{where } n \text{ is the vorticity.}$$

$$= \frac{J}{2} n^2 \int_a^L \frac{d^2x}{x^2}$$

$$\sim J n^2 \log\left(\frac{L}{a}\right)$$

Entropy of a single vortex $\sim \log L$

$$\Delta F = \Delta E - TS$$

$$\sim \log\left(\frac{L}{a}\right) [J - 1] \quad (T \equiv 1)$$

$\Rightarrow$ when $J > 1$, vortices are suppressed.

A more accurate calculation yields $J_c = \frac{2}{\pi}$

$$\langle e^{i(\theta(x) - \theta(y))} \rangle \sim \frac{1}{e^{|x|}} \quad e^{i(\theta(x) - \theta(y))} \sim \frac{1}{|x|^{1/2\pi J}}$$

At $J = J_c$: $e^{i(\theta(x) - \theta(y))} \sim \frac{1}{|x|^{1/2\pi J_c}} \sim \frac{1}{|x|^{1/4}}$

$\theta(x)$ is not a smooth f^n near the core of a vortex. In fact $n = \frac{\oint \vec{\nabla} \theta \cdot d\vec{\ell}}{2\pi}$

$$= \frac{\int [\vec{\nabla} \times \vec{\nabla} \theta] d^2x}{2\pi}$$

$\Rightarrow \frac{\vec{\nabla} \times \vec{\nabla} \theta}{2\pi}$ is the vorticity (i.e. density of vortices).
 [Note that it is a scalar in 2D]

$\vec{\nabla} \times \vec{\nabla} f$ would vanish for any smooth f^n f .

but $\theta(x)$ is evidently not smooth near a vortex.

A vortex resembles a point charge.

One can cast the $O(2)$ model as a theory of these charges (= vortices) interacting via a gauge field, similar to electromagnetism.

To proceed,

$$Z = \int D\theta \, e^{-\frac{J}{2} \int (\nabla \theta)^2}$$

where crucially we allow θ to be singular (due to vortices)

Let's re-write this as,

$$Z = \int d\theta d\vec{\xi} e^{-\int \frac{\vec{\xi}^2}{2J} + i\vec{\xi} \cdot \vec{\nabla} \theta}$$

where we have introduced a new vector-field $\vec{\xi}$.

Now write $\theta = \theta_{\text{smooth}} + \theta_{\text{vortex}}$.

$$\Rightarrow Z = \int d\theta_{\text{smooth}} d\theta_{\text{vortex}} \int d\vec{\xi} e^{-\int \frac{\vec{\xi}^2}{2J} + i\vec{\xi} \cdot \vec{\nabla} \theta_{\text{smooth}} + i\vec{\xi} \cdot \vec{\nabla} \theta_{\text{vortex}}}$$

Integrating out $\theta_{\text{smooth}} \Rightarrow \vec{\nabla} \cdot \vec{\xi} = 0$

We can solve this via $\xi_\mu = \epsilon_{\mu\nu} \partial_\nu a$ where a resembles a gauge field.

Performing integration by parts,

$$Z = \int da d\rho_{\text{vortex}} e^{-\int \frac{(\vec{\nabla} a)^2}{2J} + 2\pi i a \rho_{\text{vortex}}}$$

where $\rho_{\text{vortex}} = \frac{\vec{\nabla} \times \vec{\nabla} \theta_{\text{vortex}}}{2\pi}$ is the vortex

density. This theory resembles electrostatics in 2D. One can integrate out a to

obtain

$$Z = \int d\rho_{\text{vortex}} e^{-J \sum_{\text{vortex}} (x) \log|x-x'| n_{\text{vortex}}(x')}$$

($\sum n_{\text{vortex}} = 0$)

Thus vortices interact logarithmically as expected (recall the energy of a single vortex $\sim J \log(L)$). At low- T , the logarithmic interaction dominates and at T_c , the vortex-anti-vortex pairs unbind.

Physical meaning of gauge field 'a':

a is the only massless field in the above action. Therefore it must be the spin-waves/gaussian fluctuations in the low- T phase.

Fate of $O(2)$ model in the presence of anisotropy

Let's consider perturbing the $O(2)$ model by $\Delta H = h \int \cos(n\theta(x))$. This term

pins θ to $\frac{2\pi N}{n}$ where $N = 0, 1, \dots, n-1$.

The system no longer has $O(2)$ sym.

Instead the sym. is $\mathbb{Z}_n$.

The g.s. will break $\mathbb{Z}_n$ sym. spontaneously.

e.g. when $n = 4$,

$\begin{matrix} \rightarrow & \rightarrow \\ \rightarrow & \rightarrow \end{matrix}$,
 $\begin{matrix} \uparrow & \uparrow & \uparrow \\ & \uparrow & \uparrow \end{matrix}$,
 $\begin{matrix} \leftarrow & \leftarrow & \leftarrow \\ \leftarrow & \leftarrow & \leftarrow \end{matrix}$,
 $\begin{matrix} \downarrow & \downarrow \\ \downarrow & \downarrow \end{matrix}$,

are the four g.s.

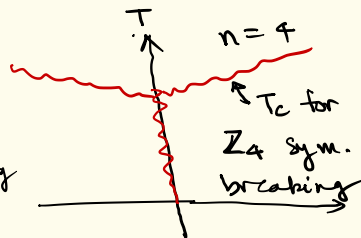
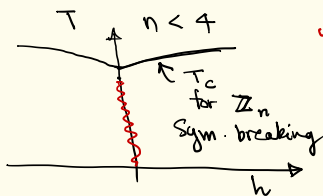
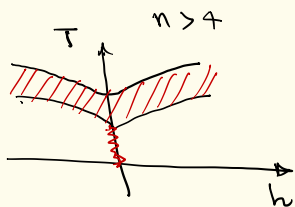
What happens to the power-law phase??

Since discrete sym. breaking is stable to thermal fluct. (recall, e.g. 2D Ising model),

at very-low T , one continues to have

$\mathbb{Z}_n$ sym. breaking $\Rightarrow \langle e^{i\theta(x)} e^{-i\theta(0)} \rangle \rightarrow \text{constant}$.

However, if $n > 4$, one finds an intermediate power-law critical line, similar to the $O(2)$ model.



Above we cheated a little bit. θ is a compact variable i.e., $\theta = \theta + 2\pi$. This is secretly encoded in the fact that Prortex must be an integer. This imposes a constraint on the field 'a'.

$\int d\text{Prortex} e^{2i\pi \text{Prortex}(x) a(x)}$ restricts $a(x)$ to be an integer. [Recall the identity $\sum_{n=-\infty}^{+\infty} \delta(x-n) = \sum_{k=-\infty}^{+\infty} e^{2\pi i k x}$].

Let's derive the duality in a bit more rigorous way which will bring out the integer valued-ness of 'a' directly.

Let's start with the actual Hamiltonian

$$H = -J \sum_{x,\mu} \cos(\nabla_\mu \theta_x) \quad \text{where} \quad \nabla_\mu \theta_x \equiv \theta_{x+\mu} - \theta_x$$

and $\mu = \hat{x}, \hat{y}$ are the lattice unit vectors.

The partition f^n is

$$Z = \int_0^{2\pi} d\theta \quad e^{J \sum_{x,\mu} \cos(\nabla_\mu \theta_x)} \quad J \cos(t)$$

Now we approximate $f(t) = e$ as

$$\approx e^{J \sum_n e^{-\frac{J}{2}(\theta - 2\pi n)^2}} \approx \int d\theta \quad e^{J \sum_n e^{-\frac{\theta^2}{2J} + i\theta(2\pi n)}} \approx e^{J \sum_{\xi=-\infty}^{+\infty} e^{-\frac{\xi^2}{2J} + i\xi t}$$

(This is called Villain approximation)

This approximation maintains the symmetry

$$f(t) = f(t + 2\pi i n)$$

$$- \frac{\vec{\nabla}^2}{2J} + i \frac{\vec{\nabla}}{J} \cdot \vec{\nabla} \theta$$

Thus $Z = \sum_{\xi=-\infty}^{+\infty} \int d\theta \quad e^{\dots}$ (dropping the pre-factor e^{Jt})

Now we can integrate out θ completely

without separating it into smooth and

vortex parts. $\Rightarrow \vec{\nabla} \cdot \vec{\xi} = 0$

(this is because θ is effectively non-compact after the Villain approx.)

Solve this in the same manner as

before: $\xi_\mu = \epsilon_{\mu\nu} \partial_\nu a$

a needs to be constrained to integer

since ξ is an integer.

$$Z = \int Da \, e^{-\frac{(\vec{\nabla} a)^2}{2\beta}} \quad \text{with } a \in \mathbb{Z}$$

Lets impose $a \in \mathbb{Z}$ by introducing.

another field p_v .

$$Z = \sum_{p_v = -\infty}^{+\infty} \int Da \, e^{-\frac{(\vec{\nabla} a)^2}{2\beta} + 2\pi i p_v a}$$

We identify p_v with p_{vortex} , thus recovering our earlier result.

Duality in 3D $O(2)$ model

The steps are fairly similar. However, $\vec{\mathbb{Z}}$ is now a 3-component vector. To solve

$$\vec{\nabla} \cdot \vec{\mathbb{Z}} = 0$$

write $\vec{\mathbb{Z}} = \vec{\nabla} \times \vec{a}$ where $\vec{a}$ is again an integer valued field.

$$Z = \int_{-\infty}^{\infty} D\vec{a} \sum_{\vec{j}=-\infty}^{+\infty} e^{-\frac{(\vec{\nabla} \times \vec{a})^2}{2J} + i \vec{j}_v \cdot \vec{a}}$$

where the sum over $\vec{j}_v$ imposes the integer valuedness of $\vec{a}$. One can identify $\vec{j}_v$ with the vortex current.

Assuming vortices are bosons, one can write $\vec{j}_v = \text{Im} [\phi^* (\vec{\nabla} - i\vec{a}) \phi]$

So that the action is,

$$\sim \int |(\vec{\nabla} - i\vec{a})\phi|^2 + (\vec{\nabla} \times \vec{a})^2$$

What happens if one condenses vortices?

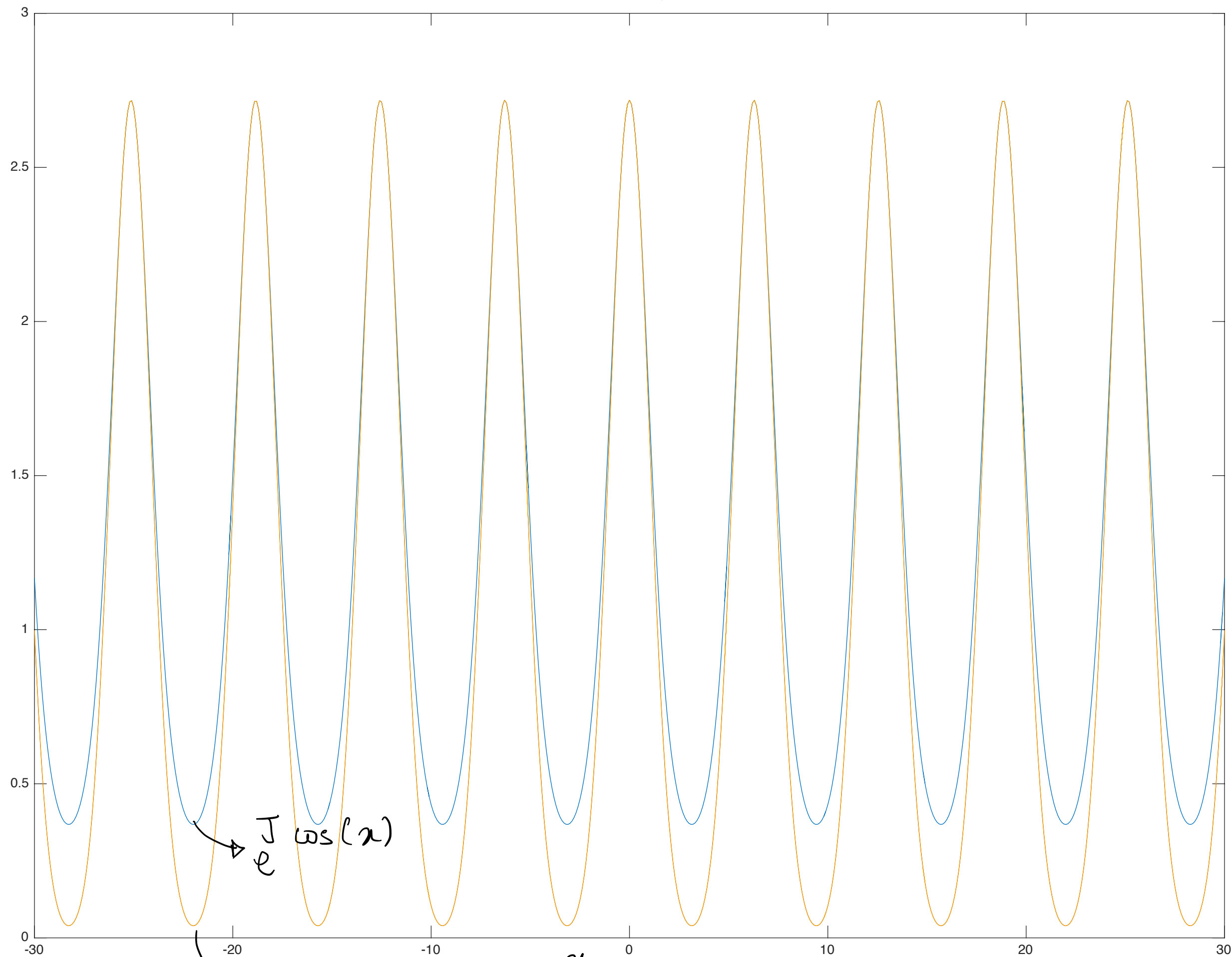
One obtains mass gap for the photon $\vec{a}$.

On the other hand when vortices are

gapped, the photon is massless. This corresponds to the Goldstone mode of the

$O(2)$ spontaneous sym. breaking. Condensing charge two vortices gives a $\mathbb{Z}_2$ gauge theory, the subject of our next lecture.

Villain Approx.



Villain approx $\sim \sum_{n=-N}^{+N} \frac{e^{-\frac{J}{2} (x - 2\pi n)^2}}{2}$ with $N = 20$